

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 December 2003 (11.12.2003)

PCT

(10) International Publication Number  
**WO 03/102845 A2**

(51) International Patent Classification<sup>7</sup>: **G06F 17/60**

(21) International Application Number: PCT/US03/16967

(22) International Filing Date: 30 May 2003 (30.05.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
10/159,598 31 May 2002 (31.05.2002) US  
10/285,381 30 October 2002 (30.10.2002) US

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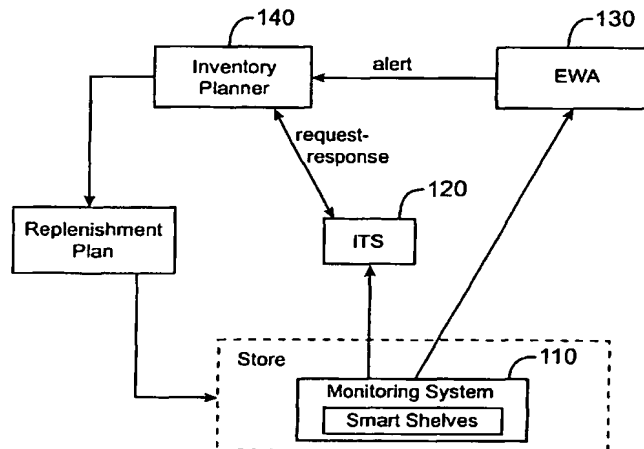
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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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(54) Title: EVENT-BASED COMMUNICATION IN A DISTRIBUTED ITEM TRACKING SYSTEM



(57) Abstract: Methods and apparatus, including computer program products, for communicating item disposition information between publishers and subscribers such as RFID tag readers and item tracking systems. Event routers can be used to distribute the information and an extended object naming service can be used to locate event routers. The items being tracked can have a hierarchical relationship with each other. Subscribers can choose to subscribe only to events relating to items at a particular level of the hierarchy. The methods and apparatus can be used to provide real-time inventory management. Changes to the inventory including the addition or removal of an item from the inventory are reported to the item tracking system in real-time.



WO 03/102845 A2



**Published:**

— *without international search report and to be republished upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## EVENT-BASED COMMUNICATION IN A DISTRIBUTED ITEM TRACKING SYSTEM

### BACKGROUND

This invention relates to communication in a distributed item tracking system.

5 This invention can serve as an underlying communication infrastructure for a number of higher-level applications including supply chain management (SCM) software.

SCM software such as the SCM solutions provided by SAP AG of Walldorf, Germany, enable a user to manage materials, information, and finances as they move in a process from a supplier to a manufacturer to a wholesaler to a retailer. The SCM  
10 software generally implements algorithms for determining the best means to fill an order and also includes databases for tracking the physical status of the goods, the management of materials, and financial information.

Inventory management is a component of most SCM systems. Inventory management enables suppliers to keep track of how much inventory they have and how  
15 much inventory they have distributed to particular retailers. Periodically, the retailer reports to the supplier the current inventory level of the store. Based on the report, the supplier determines whether the store inventory needs to be replenished.

Typically, suppliers and retailers develop a planning schedule of how often the retailers will report to the supplier. For example, every Thursday, the retailer reports the  
20 current inventory level of the store and replenishment planning occurs. On Friday, any new inventory arrives at the store in time for the weekend shoppers.

### SUMMARY

In general, in one aspect, the invention features methods and apparatus, including computer program products, for communicating item disposition information in a  
25 distributed system. The system includes a monitoring system, one or more subscribers, including a system that tracks tagged items, and one or more event routers. The monitoring system is operable to detect one or more of the tagged items, generate an event, the event including a tag identifier, a reader identifier, and a timestamp, and publish the event to one or more of the event routers. The system for tracking tagged  
30 items is operable to subscribe to receive from one or more of the event routers events relating to one or more of the tagged items, and upon receiving events, use the received events to update disposition information for one or more of the tagged items. Each event

router is operable to maintain a list of subscribers, receive events from the monitoring system, and send events to the subscribers.

In general, in another aspect, a monitoring system monitors the state of tagged items located within an inventory and sends an event to an event router when an item is added to or removed from the inventory. The event router receives the event from the monitoring system and sends the event to one or more item tracking systems. The item tracking systems receive the event and update stored information about the item to reflect the event.

In general, in another aspect, a monitoring system monitors the state of tagged items located within an inventory and sends an event to an event router when an item is added to or removed from the inventory. An event router receives the event from the monitoring system and sends the event to a second computer program. The second computer program receives the event and determines whether to send an alert to a first computer program. Upon receiving an alert from the second computer program, the first computer program determines whether replenishment of the inventory is needed.

In general, in another aspect, a monitoring system monitors the state of tagged items located within an inventory and generates an event when an item is added to or removed from the inventory. The monitoring system sends a unique digital identifier for the item to a mapping component. The mapping component uses the unique digital identifier to locate an event router from among a plurality of event routers. The monitoring system sends the event to the located event router. The event router receives the event and sends the event to one or more subscribers.

In general, in another aspect, a monitoring system monitors the state of tagged items located within an inventory and sends an event to an event router when an item is added to or removed from the inventory. The event router receives the event from the monitoring system and sends the event to an item tracking system and to a second computer program. The item tracking system receives the event and updates stored information about the item to reflect the event. The second computer program receives the event and determines whether to send an alert to a first computer program.

In general, in another aspect, a monitoring system monitors the state of tagged items located within an inventory and generates an event when an item is added to or removed from the inventory. The monitoring system sends a unique digital identifier for the item to a mapping component. The mapping component uses the unique digital identifier to locate an event router from among a plurality of event routers. The

monitoring system sends the event to the located event router. The event router receives the event and sends the event to an item tracking system and to a second computer program. The item tracking system receives the event and updates stored information about the item to reflect the event. The second computer program receives the event and  
5 determines whether to send an alert to a first computer program.

The invention can be implemented to realize one or more of the following advantages. A system in accordance with the invention enables adaptive real-time inventory management. Instead of receiving periodic reports of aggregated inventory changes, the system enables inventory changes to be reported real-time and without  
10 human intervention. Instead of replenishment planning occurring only according to a fixed schedule, the timing of replenishment planning can be adaptive, occurring more or less frequently depending on the reported real-time inventory levels.

The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features and advantages of the  
15 invention will become apparent from the description, the drawings, and the claims.

### DESCRIPTION OF DRAWINGS

FIG. 1 is a block diagram of the basic structure of an inventory management system implemented with an item tracking system in accordance with the invention.

FIG. 2 is a block diagram of an implementation that uses event routing.

FIG. 3 is a diagram of a topic structure for event routing.

FIG. 3A is a diagram of a protocol flow for publication.

FIG. 3B is a diagram of a protocol flow for subscription.

FIG. 4 is a block diagram of an object naming service for locating event routers.

FIG. 5 is an illustration of using an event router to distribute between multiple  
25 item tracking systems.

Like reference symbols in the various drawings indicate like elements.

### DETAILED DESCRIPTION

For illustrative purposes, the invention will be described in terms of its use in a specific scenario, an inventory management scenario.

FIG. 1 illustrates a retail location in relation to an item tracking system (ITS) used  
30 as part of an inventory management system. The retail location is a store. The store has

inventory that includes tagged items. When tagged items are brought into or removed from the store, this can be detected by a monitoring system 110.

A tagged item is a tangible item that carries or is bound to a self-identifying tag. The tag might be associated with a single item or it might be associated with a collection of items, by being bound to a container containing the items, for example.

Generally, the tag will be an RFID (radio frequency identification) tag, but it need not be based on RF technology. Moreover, the tag can be passive (containing no internal power source for communications and data transmission) or active; and it can have processing capacity or not. A tag is a digitally identifiable tag, meaning that the tag has the property that a unique digital identifier (UID) can be read directly from the tag using some kind of tag reader. Some digitally identifiable tags can also be written to.

One UID system is the ePC (electronic product code) system developed by the MIT Auto-ID Center. An ePC is a number that can be used to identify a physical item. As currently defined, an ePC has 96-bits, partitioned into an 8-bit header and three data fields: manufacturer, product class, and serial number. The manufacturer field uniquely distinguish one manufacturer from another. For a given manufacturer, the product class field uniquely distinguish one product class from another. And the serial number field uniquely distinguishes one particular item from another item of the same product class and manufacturer. In this way, the ePC is inherently hierarchical in nature, and certain portions of the ePC number can be masked to control the level of specificity of the ePC number. For example, if an application only wants to know about the tracking information at the manufacturer level (e.g., which locations contain products manufactured by Spalding?), then the product class and serial number bits can be masked. UIDs other than ePCs can be used similarly.

Tagged items can be tracked using an ITS that maintains information about tagged items including the location, status and attributes of the items. An ITS can receive the information real-time from a variety of sources including other ITSs, other applications, and tag readers located on smart shelves, manufacturing lines, loading docks, and other locations. An ITS maintains a virtual world model where real items and other information are represented and made available for use by other systems and by applications.

Normally, a local ITS serves a single enterprise or a portion of that enterprise. An ITS can aggregate information from multiple other ITSs. A shared ITS can combine information from multiple ITSs belonging the different enterprises.

An ITS includes real time input processing logic, data structures and persistent storage, an interface for queries, and communications connections between the query interface, the persistent storage, and the input processing logic.

The real time input processing logic accepts messages from tag readers, existing ERP systems, and other ITS systems. The messages can represent creation of physical or logical items, or changes in the disposition or status of these items. The messages can be in XML or other format. The input processing logic interprets the incoming messages, consults the stored data, undertakes the appropriate action based on the message content and the stored data, updates the data structures as specified, and potentially returns error messages or other reports to the source of the message.

The data structures and persistent storage is a combination of software and hardware that records and maintains a representation of the relationships, states and histories of logical and physical items tracked by the ITS. For example, the data structures may record that a certain unique tag corresponds to a specific bottle of detergent. The data structures may also record that the detergent is part of a certain inventory (a logical item with a unique ID) The location of the detergent item may be periodically updated in response to real-time messages and software action from the input processing logic. The data structures and persistent storage preserve the data structures over any hardware or software failures. Any robust method of building persistent storage can be used; for example, one can use software database technology and magnetic disk drives to record information in a non-volatile manner.

The interface for queries provides the interface between an ITS and outside enterprise software applications.

The monitoring system 110 includes multiple tag readers positioned at one or more locations within the store. The monitoring system 110 also includes memory that stores the current state of each item in the inventory. The state indicates whether the item exists at the given location or has been removed from the location. The monitoring system 110 also includes computer logic that determines when the state of the item has changed, e.g., when the item has been added or removed from the given location.

The logic can be further configured to send an update whenever it detects a change in the state of an item. The inventory update can take the form of an event that includes the ePC of the item, the ePC of the tag reader, and a timestamp. The event can also include an IN/OUT parameter that specifies whether the change is an addition or a removal of the item.

In one implementation, the monitoring system 110 includes one or more smart shelves. Smart shelves are shelves that are capable of reporting when physical items are added to or removed from the shelf. A smart shelf contains multiple tag readers positioned at one or more locations on the shelf. A smart shelf also includes computer logic for determining whether an item has been added to or removed from the inventory.

An ITS 120 maintains tracking information for multiple items including the items belonging to the store inventory. Whenever items enter and leave the store, the ITS receives an event from the monitoring system 110 and updates its data storage to reflect the event.

An inventory planner 140 – a computer program solution – normally operates on a periodic schedule to perform inventory management functions. In the operations that relate to the store, the inventory planner 140 retrieves inventory data from the ITS 120 and determines whether to replenish the store inventory. The inventory planner 140 can receive alerts from an early warning agent (EWA) 130 that can cause the inventory planner to perform at least some inventory management functions, at least in relation to the store, outside of its normal periodic schedule. In particular, the EWA 130 can send an alert to the inventory planner 140 to cause the inventory planner to determine whether replenishment of store inventory is needed. Whenever items enter and leave the store, and optionally even when they move from one part of the store to another, the EWA receives an event from the monitoring system 110. Using the information received in such events, the EWA determines when to send alerts to the inventory planner 140.

#### The Early Warning Agent

The EWA 130 includes logic for determining when to send an alert. The determination of whether to send an alert includes applying one or more rules to the information received in inventory updates. A rule specifies a certain condition and a certain action to be performed when the condition is met. For example, a rule can specify that an alert should be sent whenever the inventory level drops below a specific value.

The EWA 130 can apply a pre-determined set of rules, or alternatively, the EWA 130 can include artificial intelligence logic that enables the EWA 130 to adapt its behavior in response to current or historical inventory patterns. The artificial intelligence logic enables the EWA to estimate potential variation in inventory levels in the near future in order to identify potentially risky situations early enough to allow for corrective measures. For example, initially the rules may specify that an alert should be fired when the inventory drops below 10. However, if the EWA 130 detects that it sends alerts much



more frequently during the summer season than during other seasons, the EWA 130 may adapt to this seasonal variation by increasing the threshold from 10 to 20 during the summer season so that the inventory planner 140 is notified earlier of the impending inventory shortage. This adaptive behavior occurs with minimal human intervention, and with minimal need of parameter adjustment or any other kind of manual calibration.

The EWA 130 can retrieve and analyze current and historical inventory data to detect trends such as deviations between planned replenishment and actual replenishment and to build a predictive model of future inventory needs. These trends and predictions can be determined using linear regression, classification and regression trees, or other stochastic algorithms.

In one implementation, the EWA 130 estimates the potential variation for each planned replenishment or consumption activity that may affect the inventory (e.g., given historical performance data, it estimates that a planned truckload of 12oz Bottles from Bob's Bottles will arrive any time within 4 hours prior to 6 hours after the planned delivery time, with a quantity that is between 95% and 100% of the requested quantity). The EWA 130 compares the promised and actual delivery time for various quantities of inventory delivered in the past to generate predictions for actual delivery dates and quantities for future replenishment activities that are planned but not yet completed. The EWA 130 combines the estimates of potential variation for several individual activities into an estimate of the potential variation for an entire inventory. These algorithms can be implemented using decision trees such as classification and regression trees.

In another implementation, instead of considering individual activities, the EWA 130 builds the predictive model based on aggregate data that represents cumulative levels of replenishment and consumption. These algorithms can be implemented using a probabilistic inference model such as conditional Gaussian approximation.

#### Inventory Planner

The inventory planner 140 can be any application that generates inventory replenishment plans. One such inventory planner 140 is the Advanced Planner and Optimizer (APO) available from SAP AG.

The inventory planner 140 includes logic for generating replenishment plans. The logic includes logic for receiving an alert from the EWA 130 and, in response to the alert, determining whether replenishment is needed.

In one implementation, the inventory planner 140 generates replenishment plans for an entire inventory. An EWA 130 monitors a portion of the inventory. Multiple

EWAs 130 can be combined to cover the entire inventory. An alert pertaining to one portion of the inventory triggers the inventory planner 140 to make a determination as to whether the planned replenishment for the entire inventory needs to be re-planned.

The determination can include retrieving inventory data from the ITS 120 and determining or forecasting demand based on the retrieved inventory data. For example, a trend of high demand for product X may cause the inventory planner 140 to plan for not only more product X but also more product Y, a product for which product X is known to be a leading indicator.

#### Event routing

FIG. 2 is a block diagram of an implementation of the invention that uses event routing. Events are messages that are passed from one software entity to another. Events can be used to notify the recipient of the event about some occurrence or to send a request to the recipient. An event routing middleware such as an event router (ER) 210 can be used distributes events between various entities, for example, between the monitoring system 110, the ITS 120, the EWA 130 and the inventory planner 140. Examples of suitable event routers include the topic-based KnowNow® event router, available from KnowNow Incorporated of Mountain View, California, or the content-based Elvin messaging service available from the Distributed Systems Technology Center of Queensland, Australia. The topic-based and content-based event routers can also be used in combination.

#### Content-based event routing

In one implementation, messages are routed from the publishers to subscribers based on the content of each message. The content of each message can be partitioned into multiple content fields. For example, a message relating to an item having the ePC, 01.0037F2.001508.000319F827 can be split into the following content fields:

- (1) Header : 01
- (2) Manufacturer: 0037F2
- (3) Product class: 001508
- (4) Serial number: 000319F827

(5) Message type: seen@, request, response—A seen@ message is a message reporting that the item has been detected at a particular location. A “request” message is used to send a request to a subscriber and a “response” message is used to publish the requested information. Other message types can also be defined.

The subscriber can specify message filters based on the value of the content fields (e.g., manufacturer = 0037F2 & message type=seen@).

#### Topic-based event routing

In an alternate implementation, events can be categorized according to topics. A software entity may only be interested in events pertaining to certain topics. The software entity can subscribe to only certain topics and it will only receive events pertaining to those topics and not to others.

FIG. 3 shows a topic structure based on the structure of an ePC. A separate topic can be created for each of the data fields of the ePC, namely, the manufacturer, product class and serial number. For example, for an item having the ePC 01.0037F2.001508.000319F827, the following topics can be created:

(1) \centauri\0037F2\001508\000319F827\seen@--This topic covers "seen@" events relating to the item.

(2) \centauri\0037F2\001508\000319F827\\*--This topic includes both the events reported to topic 1 and also other kinds of events relating to the item, such as "request" and "response" events.

(3) \centauri\0037F2\001508\\*--This topic includes both the events reported to topic 2 and also events relating to other items within the same product class, 001508.

(4) \centauri\0037F2\\*--This topic includes all the events reported to topic 3, and also events relating to other items having the same manufacturer, 0037F2.

Separate topics can also be created for events pertaining to readers. For example, for a reader having the ePC 01.0B39C2.000815.004711F827, the following topics can be created:

(1) centauri\0B39C2000815004711F827\Have-Seen-ePC--This topic covers events relating to a particular reader having detected a particular ePC.

(2) centauri\0B39C2000815004711F827\\*--This topic covers events relating to a particular reader having detected any ePC.

A topic-based event router has a topic set and each topic has an address, e.g., a URL. An event can be published to a topic by sending the event to the address for that topic. To determine the URL of the appropriate topic to publish an event to, a publishing entity such as the monitoring system 110 ( FIG. 2) can consult an extended object naming service (EONS) 220. An EONS 220 maintains mappings between item ePCs (or a reader ePCs) and one or more ERs, along with their corresponding topics. An EONS takes as input an ePC of an item (or reader) and returns the URL(s) for one or more identified

topics. The EONS 220 can be implemented by extending a conventional ONS such as the ONS developed by Oat Systems and the MIT Auto-ID Center, which is further described in the Object Name Service Technical Manual (published by MIT Auto-ID Center).

Conventional object naming systems are similar to and are based on the well-known

5 Domain Name System (DNS) for the Internet.

#### Protocol flow for publication

In operation, as shown in FIG. 3A, the monitoring system 110 identifies that an item has been added or removed from the inventory. The monitoring system 110

generates an event which includes a tag identifier, a reader identifier and a timestamp.

10 The tag identifier identifies the item that has changed. The reader identifier identifies the reader that detected the change. And the timestamp identifies when the change was detected.

The monitoring system 110 then consults the EONS 220 to determine where to send the event. The monitoring system 110 provides the EONS with the ePC of the item and the ePC of the reader and receives back from the EONS the URLs for the item topic and the reader topic. The monitoring system 110 then sends the event to both topics.

15 The ER(s) for the item topic and the reader topic routes the events to one or more subscribers. For example, the ITS can be a subscriber to the item topic and the EWA can be a subscriber to the reader topic. The EWA receives the event from the ER and determines whether to send an alert to the inventory planner.

If an alert is sent, this triggers the inventory planner to re-plan the replenishment of the inventory. To obtain the current data for the inventory, the inventory planner can send an event to the ER requesting inventory data. The ER then routes the event to the ITS, which responds by sending the requested inventory data to the inventory planner.

#### Protocol flow for subscription

25 As shown in FIG. 3B, for each topic for which the subscriber wishes to subscribe, the subscriber first consults the EONS to determine the address of the ER for the given topic and then listens for events to be published to the topic.

30 Upon receiving an event, the subscriber can use the reader identifier contained in the event to determine the location of the item. The location can be a physical location (e.g., a specific latitude, longitude and altitude) or a logical location (e.g., inside container XYZ or truck ABC). The subscriber can also use the tag identifier to locate additional information about the item. For example, the tag identifier can be used to retrieve a PML (product markup language) document for the item.

As shown in FIG. 4, an EONS can maintain mappings between multiple tagged items (or readers) and multiple ERs. As discussed above, the mappings support multiple keys, including an item key and a reader key. The EONS can service multiple monitoring systems 110 and other tag reader applications. In one implementation, the EONS is a distributed subsystem that includes mapping information, query servers for responding to queries requesting information location, and name resolvers that can receive an ePC and resolve the ePC into an ER location.

As shown in FIG. 5, a particular ER can distribute to multiple ITSs (as well as to other subscribers) and a particular ITS can subscribe to multiple ERs. A user can define a desired scope for each ITS and configure the distribution scheme accordingly.

The invention can be implemented in digital electronic circuitry, or in computer hardware, firmware, software, or in combinations of them. Apparatus of the invention can be implemented in a computer program product tangibly embodied in an information carrier, e.g., in a machine-readable storage device or in a propagated signal, for execution by a programmable processor; and method steps of the invention can be performed by a programmable processor executing a program of instructions to perform functions of the invention by operating on input data and generating output. The invention can be implemented advantageously in one or more computer programs that are executable on a programmable system including at least one programmable processor coupled to receive data and instructions from, and to transmit data and instructions to, a data storage system, at least one input device, and at least one output device. A computer program is a set of instructions that can be used, directly or indirectly, in a computer to perform a certain activity or bring about a certain result. A computer program can be written in any form of programming language, including compiled or interpreted languages, and it can be deployed in any form, including as a stand-alone program or as a module, component, subroutine, or other unit suitable for use in a computing environment.

Suitable processors for the execution of a program of instructions include, by way of example, both general and special purpose microprocessors, and the sole processor or one of multiple processors of any kind of computer. Generally, a processor will receive instructions and data from a read-only memory or a random access memory or both. The essential elements of a computer are a processor for executing instructions and one or more memories for storing instructions and data. Generally, a computer will also include, or be operatively coupled to communicate with, one or more mass storage devices for storing data files; such devices include magnetic disks, such as internal hard disks and

removable disks; magneto-optical disks; and optical disks. Storage devices suitable for tangibly embodying computer program instructions and data include all forms of non-volatile memory, including by way of example semiconductor memory devices, such as EPROM, EEPROM, and flash memory devices; magnetic disks such as internal hard  
5 disks and removable disks; magneto-optical disks; and CD-ROM and DVD-ROM disks. The processor and the memory can be supplemented by, or incorporated in, ASICs (application-specific integrated circuits).

To provide for interaction with a user, the invention can be implemented on a computer having a display device such as a CRT (cathode ray tube) or LCD (liquid  
10 crystal display) monitor for displaying information to the user and a keyboard and a pointing device such as a mouse or a trackball by which the user can provide input to the computer.

The invention can be implemented in a computer system that includes a back-end component, such as a data server, or that includes a middleware component, such as an  
15 application server or an Internet server, or that includes a front-end component, such as a client computer having a graphical user interface or an Internet browser, or any combination of them. The components of the system can be connected by any form or medium of digital data communication.

The invention has been described in terms of particular embodiments. Other  
20 embodiments are within the scope of the following claims. For example, steps of the invention can be performed in a different order and still achieve desirable results.. The invention can be applied to other scenarios besides inventory management. For example, the invention can be applied in the production context where production is planned according to the receipt of customer orders. An EWA can be used to alert the production  
25 planner when orders have been made. Accordingly, other embodiments are within the scope of the following claims.

## CLAIMS

1. A method to be performed by a system that tracks items, the method comprising:  
subscribing to receive from one or more event routers events relating to one or more  
tagged items, each event including a tag identifier for a tag bound to an item, a reader  
5 identifier; and a timestamp;  
receiving from the event router events related to the one or more tagged items; and  
using the received events to update disposition information for the one or more tagged  
items.
2. The method of claim 1, wherein the tagged items have a hierarchical relationship with  
10 each other and subscribing includes subscribing only to events relating to items at a  
particular level of the hierarchy.
3. The method of claim 1, further comprising:  
using a mapping service and all or part of the tag identifier to determine which event  
router to subscribe to.
- 15 4. The method of claim 3, wherein the tag identifier has a first portion that specifies the  
manufacturer of the item, a second portion that specifies the product class of the item, and  
a third portion that specifies a serial number of the item.
5. The method of claim 1, wherein the tag is a radio frequency identification (RFID) tag  
and the tag identifier is an electronic product code (ePC).
- 20 6. A method to be performed by a tag reader, the method comprising:  
detecting an item;  
generating an event, the event including a tag identifier associated with a tag bound to  
the item, a reader identifier, and a timestamp;  
using a mapping service and either the tag identifier or the reader identifier to identify  
25 one or more event routers; and  
publishing the event to the identified event routers.
7. The method of claim 6, wherein the tag is a radio frequency identification (RFID) tag  
and the tag identifier is an electronic product code (ePC).

8. A system, comprising:

a monitoring system including one or more tag readers;

one or more subscribers, including a system that tracks tagged items; and

one or more event routers,

wherein:

the monitoring system is operable to:

detect one or more of the tagged items;

generate an event, the event including a tag identifier, a reader identifier, and a timestamp; and

publish the event to one or more of the event routers;

the system for tracking tagged items is operable to:

subscribe to receive from one or more of the event routers events relating to one or more of the tagged items; and

upon receiving events, use the received events to update disposition information for one or more of the tagged items; and

each event router is operable to:

maintain a list of subscribers;

receive events from the monitoring system; and

send events to the subscribers.

9. The system of claim 8, wherein the monitoring system is further operable to:

use a mapping service and either the tag identifier or the reader identifier to identify one or more event routers; and

publish the event to the identified event routers.

10. The system of claim 8, wherein each subscriber is operable to use a mapping service

and all or part of the tag identifier to determine which event router to subscribe to.

11. The system of claim 8, wherein each subscriber is operable to use a mapping service

and all or part of the reader identifier to determine which event router to subscribe to.

12. The system of claim 8, wherein the tagged items have a hierarchical relationship with

each other and a subscriber is operable to subscribe only to events relating to items at a

particular level of the hierarchy.



13. The system of claim 8, wherein the tag is a radio frequency identification (RFID) tag and the tag identifier is an electronic product code (ePC).

14. The system of claim 8, wherein each event belongs to an event type, at least two events belong to different event types and a subscriber is operable to subscribe only to events of a particular event type.

15. Apparatus comprising:

a monitoring system including:

a tag reader configured to read tags from tagged items located within an inventory; and

means for detecting and reporting that an item has been added to or removed from the inventory;

one or more item tracking systems, each item tracking system including:

a memory that stores information about tagged items;

input processing logic configured to process messages containing information about changes in the location of a tagged item and to store the information in the memory; and

a query interface configured to respond to queries about the tagged items; and an event router including:

a registry of subscribers, the subscribers including the one or more item tracking systems; and

means for receiving an event from the monitoring system and sending the event to the one or more item tracking systems.

16. The apparatus of claim 15, wherein the event includes a unique digital identifier for an item, a unique digital identifier for a reader and a timestamp.

17. The apparatus of claim 15, wherein the event further includes a parameter that indicates whether the item has been added to the inventory or whether the item has been removed from the inventory.

18. Apparatus comprising:

a monitoring system including:

a tag reader configured to read tags from tagged items located within an inventory; and

5 means for detecting and reporting that an item has been added to or removed from the inventory;

a first computer program configured to determine whether replenishment of the inventory is needed;

10 a second computer program configured to determine whether to send an alert to the first computer program; and

an event router including:

a registry of subscribers, the subscribers including the first computer program; and

means for receiving an event from the monitoring system and sending the event to the second computer program.

15 19. The apparatus of claim 18, wherein the event includes a unique digital identifier for an item, a unique digital identifier for a reader and a timestamp.

20. The apparatus of claim 18, wherein the event further includes a parameter that indicates whether the item has been added to the inventory or whether the item has been removed from the inventory.

20 21. The apparatus of claim 18, wherein the second computer program is configured to apply a pre-determined set of rules to determine whether to send the alert.

22. The apparatus of claim 18, wherein the second computer program is further configured to analyze inventory data to detect trends in the data.

23. Apparatus comprising:

a monitoring system including:

a tag reader configured to read tags from tagged items located within an inventory; and

5 means for detecting and reporting that an item has been added to or removed from the inventory;

a plurality of event routers, each event router including:

a registry of subscribers; and

10 means for receiving an event from the monitoring system and sending the event to one or more of the subscribers; and

a mapping component including:

a memory that stores mappings between an item identifier and an event router and between a reader identifier and an event router; and

15 means for receiving an item or reader identifier from the monitoring system and using the item or reader identifier to locate an event router.

24. Apparatus comprising:

a monitoring system including:

a tag reader configured to read tags from tagged items located within an inventory; and

20 means for detecting and reporting that an item has been added or removed from the inventory;

an item tracking system including:

a memory that stores information about tagged items;

25 input processing logic configured to process messages containing information about changes in the location of a tagged item and to store the information in the memory; and

a query interface configured to respond to queries about the tagged items;

a first computer program configured to determine whether replenishment of the inventory is needed; and

30 a second computer program configured to receive an event from the monitoring system and to determine whether to send an alert to the first computer program.

25. A system comprising:

a monitoring system including:

a tag reader configured to read tags from tagged items located within an inventory; and

5 means for detecting and reporting that an item has been added to or removed from the inventory;

an item tracking system including:

a memory that stores information about tagged items;

10 input processing logic configured to process messages containing information about changes in the location of a tagged item and to store the information in the memory; and

a query interface configured to respond to queries about the tagged items;

a first computer program configured to determine whether replenishment of the inventory is needed;

15 a second computer program configured to receive an event from the monitoring system and to determine whether to send an alert to the first computer program;

an event router including:

a registry of subscribers, the subscribers including the item tracking system, the first computer program and the second computer program; and

20 means for receiving an event from the monitoring system and sending the event to one or more of the subscribers; and

a mapping component including:

memory that stores mappings between an item identifier and an event router and between a reader identifier and an event router; and

25 means for receiving an item identifier from the monitoring system and using the item identifier to locate an event router.

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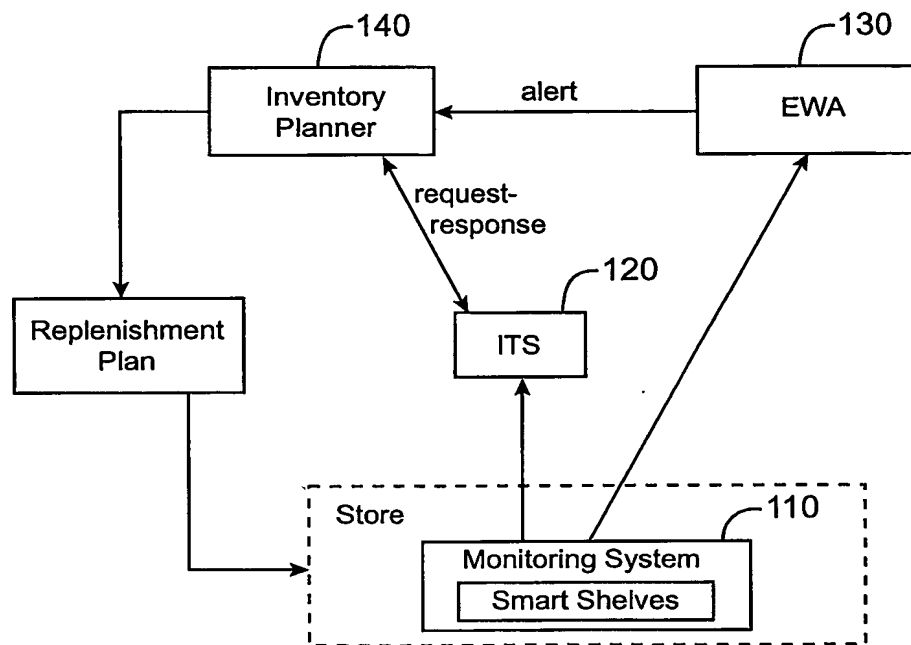


FIG. 1

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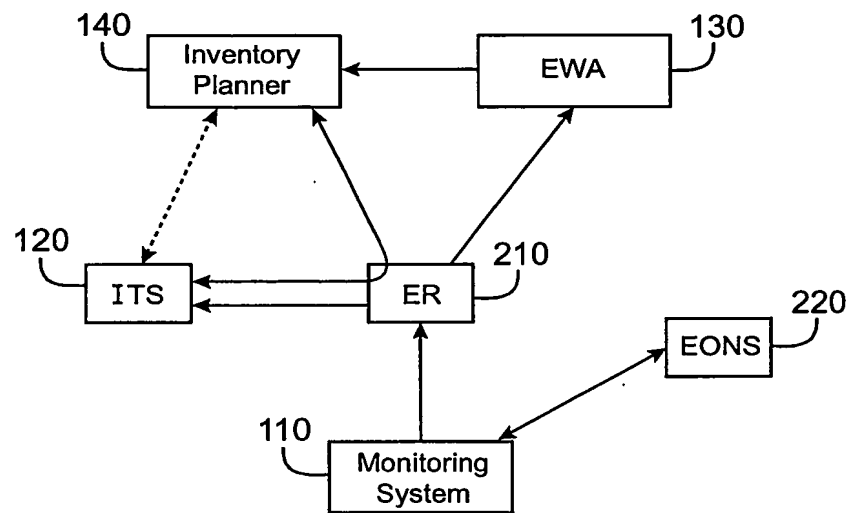


FIG. 2

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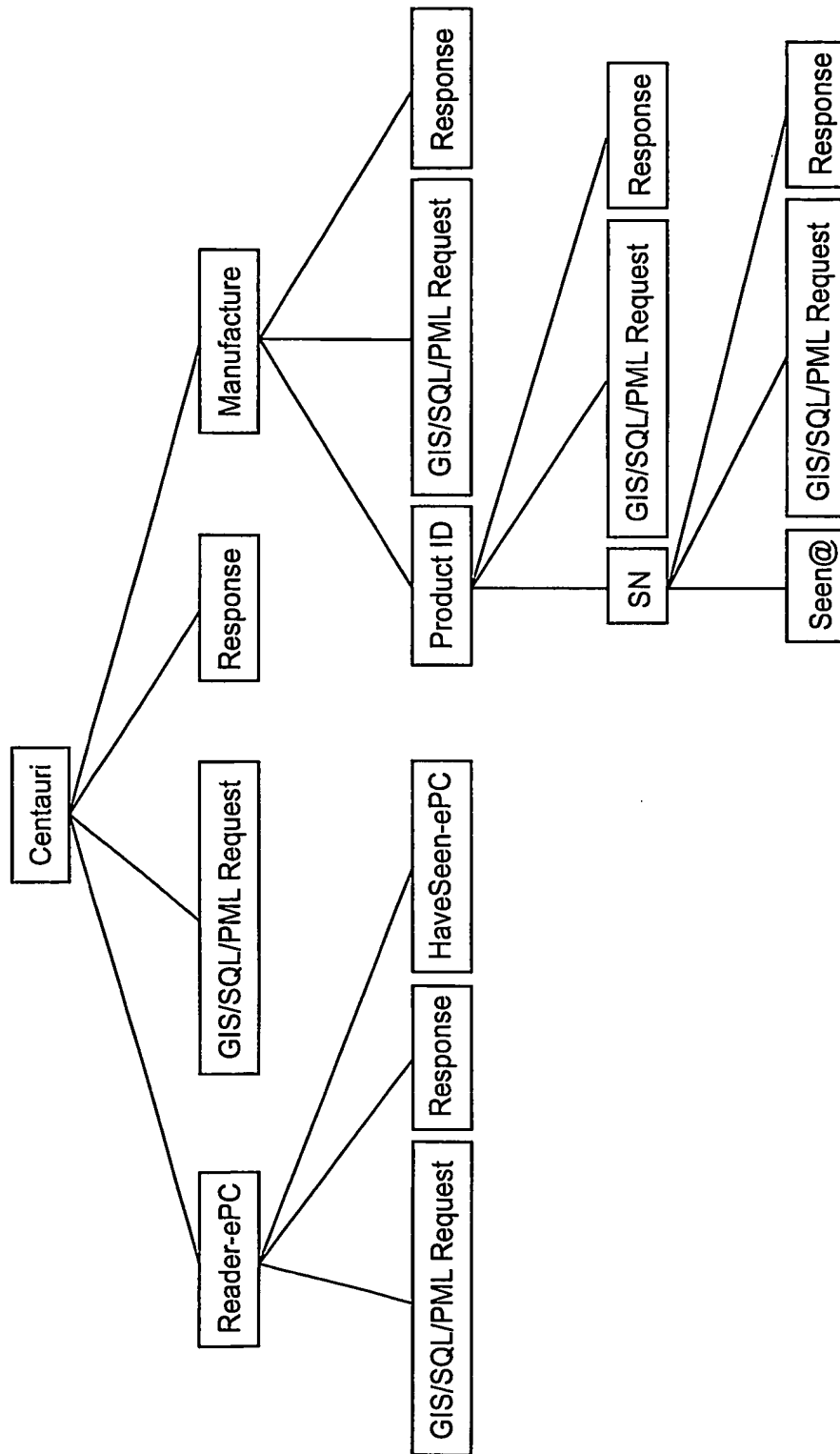


FIG. 3

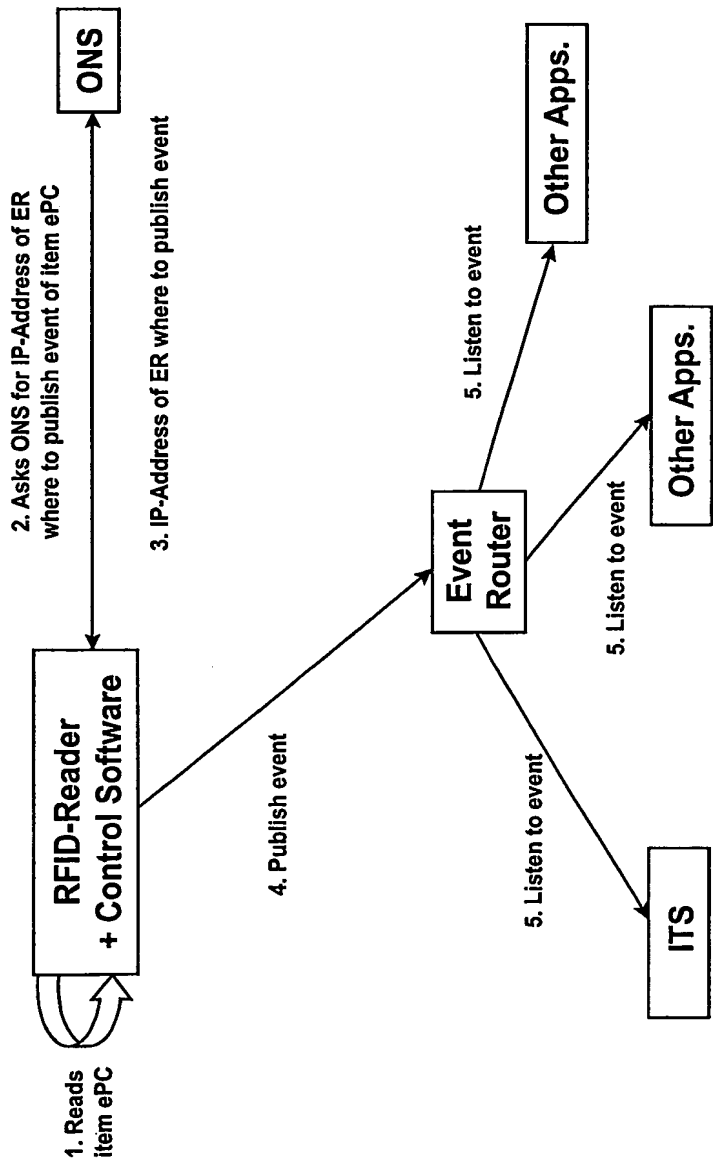
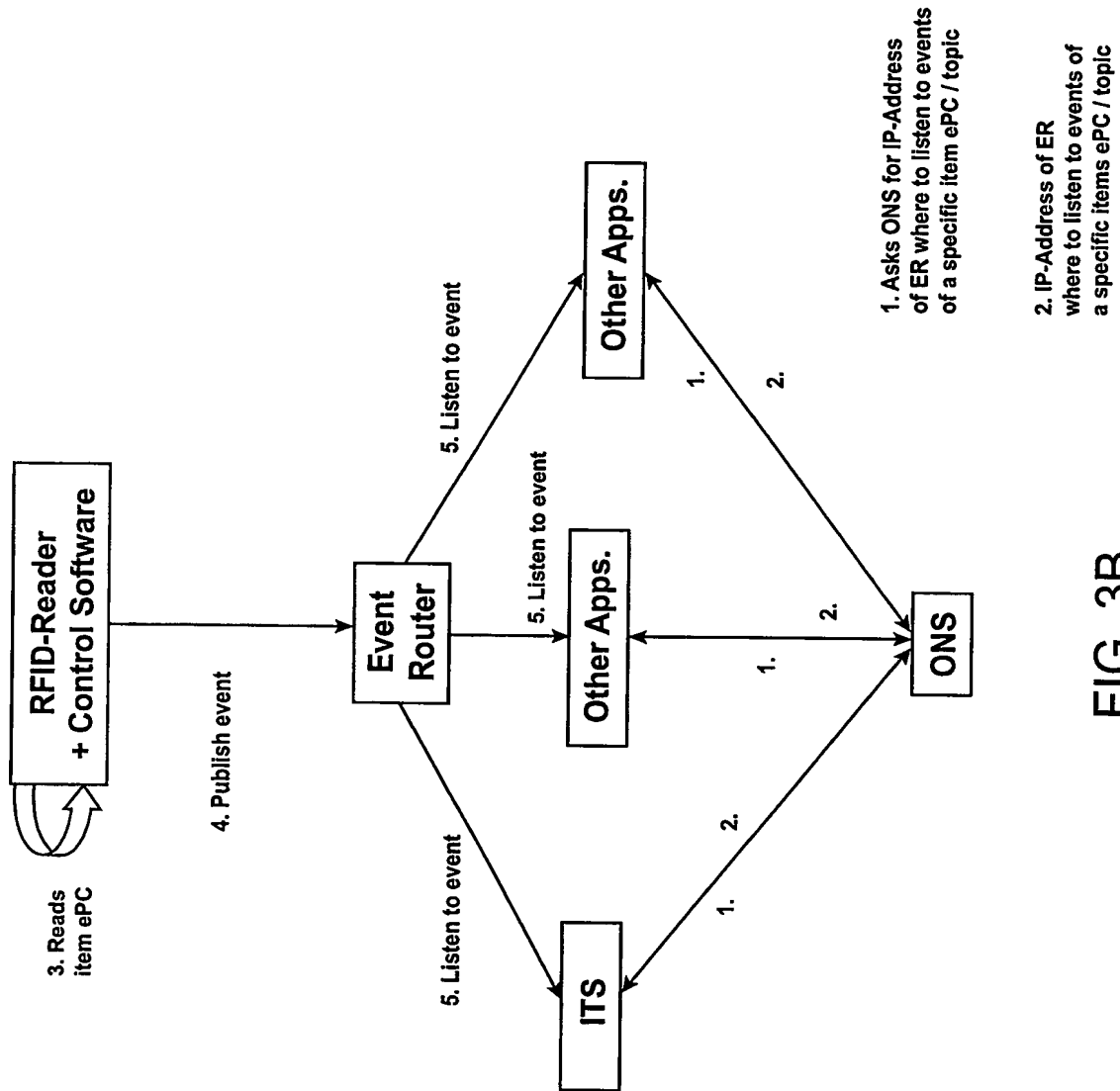


FIG. 3A





**FIG. 3B**

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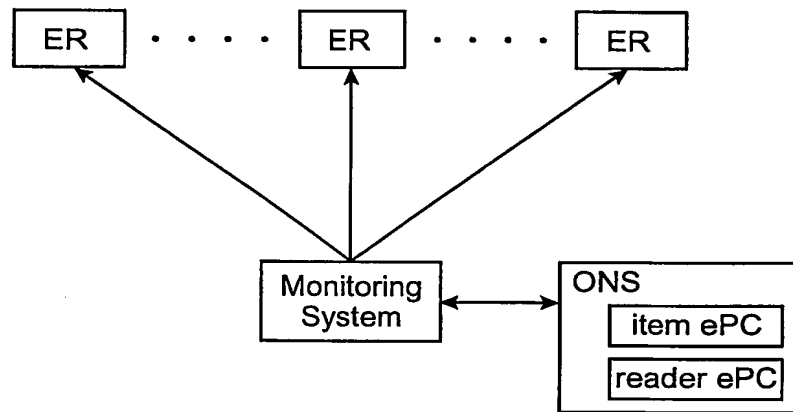


FIG. 4

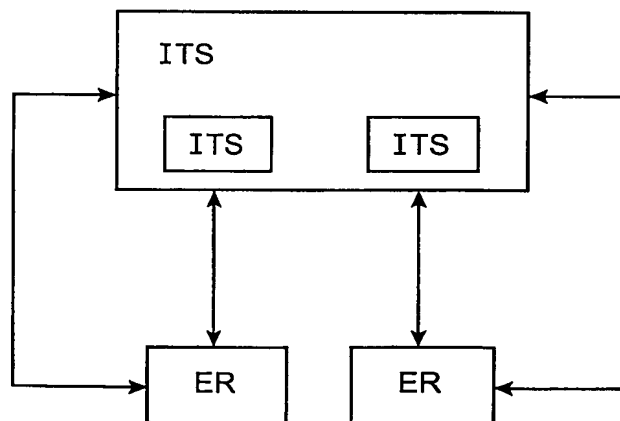


FIG. 5

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
11 December 2003 (11.12.2003)

PCT

(10) International Publication Number  
**WO 2003/102845 A3**

(51) International Patent Classification<sup>7</sup>: **G06F 17/60**

(21) International Application Number:  
PCT/US2003/016967

(22) International Filing Date: 30 May 2003 (30.05.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
10/159,598 31 May 2002 (31.05.2002) US  
10/285,381 30 October 2002 (30.10.2002) US

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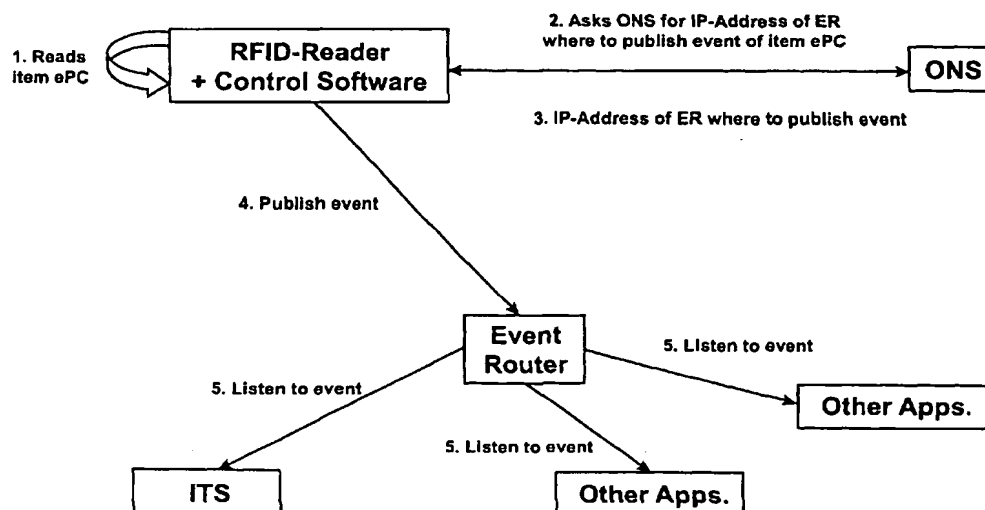
(74) Agent: **TROESCH, Hans, R.**; Fish & Richardson P.C., 500 Arguello Street #500, Redwood City, CA 94063 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,

[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR SUPPLY CHAIN EVENT MANAGEMENT



(57) Abstract: Methods and apparatus, including computer program products, for communicating item disposition information between publishers and subscribers such as RFID tag readers and item tracking systems. Event routers can be used to distribute the information and an extended object naming service can be used to locate event routers. The items being tracked can have a hierarchical relationship with each other. Subscribers can choose to subscribe only to events relating to items at a particular level of the hierarchy. The methods and apparatus can be used to provide real-time inventory management. Changes to the inventory including the addition or removal of an item from the inventory are reported to the item tracking system in real-time.

WO 2003/102845 A3



SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report:

5 February 2004

**Published:**

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 03/16967

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G06F17/60

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	D.L. MARGULIUS: "Dawn of the real-time enterprise" INFOWORLD, 17 January 2002 (2002-01-17), pages 1-2, XP002259980 Internet	1-25
Y	the whole document	1-25
Y	THE451: "KnowNow unveils 'n-way' EAI over the Internet" SEARCHWEBSERVICES, 28 June 2001 (2001-06-28), pages 1-2, XP002259981 the whole document	1-25
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Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
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- \*O\* document referring to an oral disclosure, use, exhibition or other means
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- \*&\* document member of the same patent family

Date of the actual completion of the international search

5 November 2003

Date of mailing of the international search report

13/11/2003

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Bauer, R

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 03/16967

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	KURT C. HOFFMAN: "Real-time location systems take asset tracking to new level" SUPPLYCHAINBRAIN.COM, October 2001 (2001-10), pages 1-5, XP002259982 the whole document ----	1-25
A	EP 0 913 758 A (SUN MICROSYSTEMS INC) 6 May 1999 (1999-05-06) abstract; figures 3,8 paragraphs '0011!', '0019!', '0030!', '0036! paragraphs '0040!'-'0043! paragraph '0062! paragraph '0088! - paragraph '0096! paragraphs '0100!', '0107!', '0110! ----	1-25
A	US 6 021 443 A ((JANKOWSKI STEVE M ET AL) 1 February 2000 (2000-02-01) abstract; figures 3,4,8,14 column 2 -column 3 column 7 column 17, line 60 -column 18, line 25 ----	1-25
A	EP 1 174 807 A (FORD GLOBAL TECH INC) 23 January 2002 (2002-01-23) abstract column 1 -column 3 -----	1-25

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/16967

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-5, 8-14, 15-17, 25

An event router receives published "events" posted by tag readers and routes them to subscriber software that consume such messages for building a tracking system.

1.1. Claims: 6-7, 23

An event router receives events published by tag readers and uses a "mapping service" for identifying the locations where to publish the events.

1.2. Claims: 18-22

An event router receives events from a tag reader to detect movements in an inventory and pulls this information to subscribers programs. A first and second programs interact to automate the replenishment of the inventory.

1.3. Claim : 24

No event router is mentioned. Programs interact to detect changes in an inventory and alert or trigger interventions on inventory.

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/16967

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0913758	A	06-05-1999	US 5999978 A EP 0913758 A2 JP 11338840 A	07-12-1999 06-05-1999 10-12-1999
US 6021443	A	01-02-2000	US 5870605 A	09-02-1999
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